

CMS High Granularity CAlorimeter

Meeting the challenges of HL-LHC

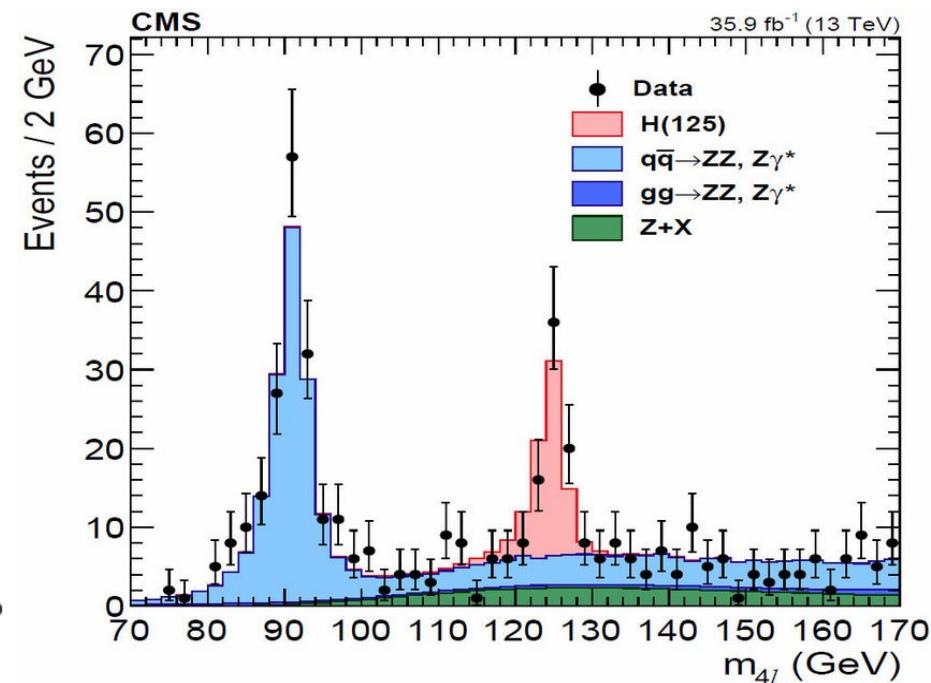
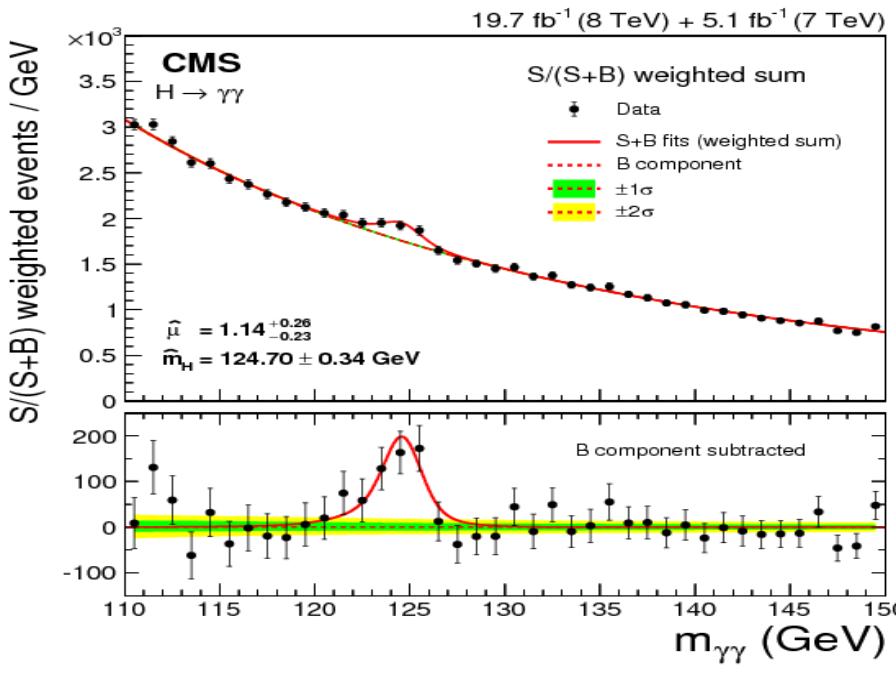
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26/08/2020

- *The stage for the High-Luminosity LHC*
 - *Challenges: Radiation & PU*
- *HGCAL:*
 - *From detectors to electronics*
 - *From data transmission to algorithm*
- *L1 triggering*
- *Timing*
- *Physics*

Why the High-Luminosity LHC ?

Higgs is so far the only major discovery @ LHC



Where are the other “leads” of LHC ?

- Susy: so far the best cure to the hierarchy problem (of Higgs) in SM
 - So far not observed below ~ 1.5 TeV
- LeptoQuarks ?
- Universal Extra Dimensions ?
- Higher (Energy) Luminosity can shed light on mass regions sofar unexplored

Why the High-Luminosity LHC ?

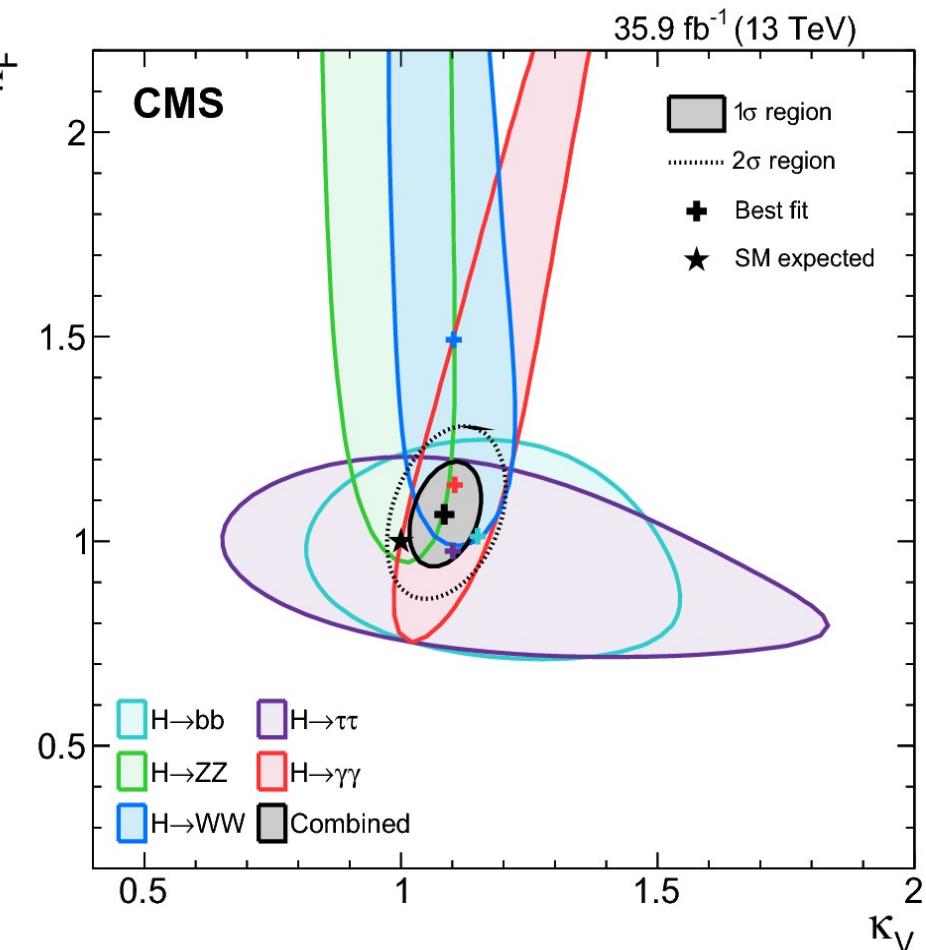
Is Higgs a SM particle ?

Or does it belong to a bigger family of Higgs ?

In absence of direct observation of other Higgs bosons, the best “window” to answer the question is measuring the couplings of the observed Higgs to SM fermions/bosons

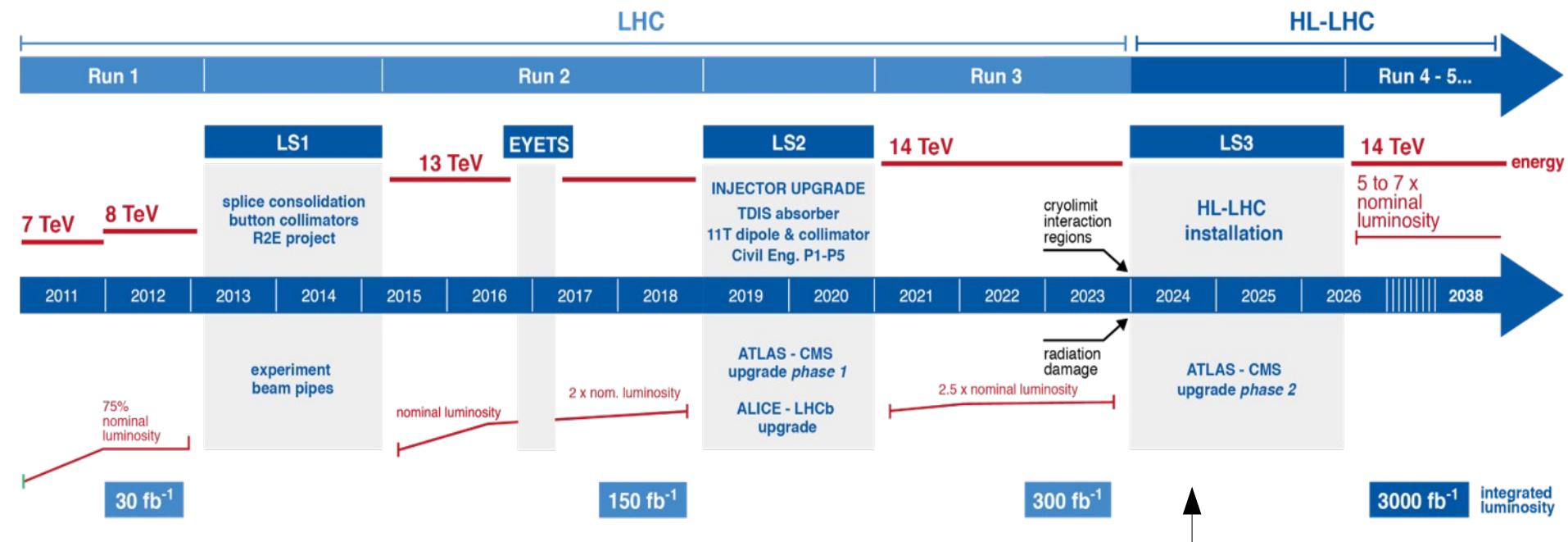
Given the collected data so far:
“I belong to standard model” seems the Higgs to say

→ We need serious amount of data to challenge predictions of the SM



HL-LHC will enable precision measurements & observation of rare processes (SM or BSM) below current sensitivity

LHC / HL-LHC Plan



Peak Luminosity $\sim 7.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

High PU affects background rejection \rightarrow need handle(s) to mitigate PU:
HGCAL



CMS Phase II Upgrades

Radiation:

1 year HL-LHC ~ 10 years LHC

Trigger/HLT/DAQ

- Track information at L1-Trigger
- L1-Trigger: 12.5 μ s latency - output 750 kHz
- HLT output \approx 7.5 kHz

Barrel EM & hadronic calorimeter

- Replace FE/BE electronics
- Lower operating temperature (8°C)
- Replace scintillator layers

What will not change:

4Tesla Magnet and return yoke

Barrel and Endcaps muons chambers

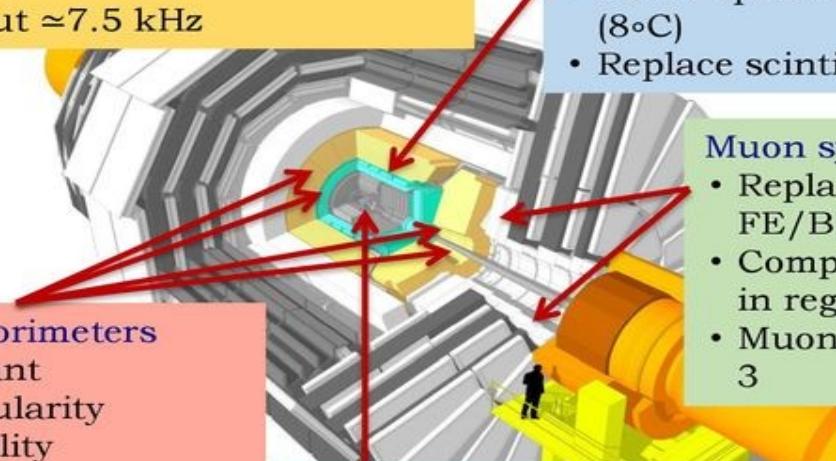
Electromagnetic Barrel Crystal Calor

Barrel Hadron Brass/Scintillator calor

Hadron FWd calo (steel/quartz fibers)

Endcap Calorimeters

- rad. tolerant
- high granularity
- 3D capability



Muon systems

- Replace DT & CSC FE/BE electronics
- Complete RPC coverage in region $1.5 < \eta < 2.4$
- Muon tagging $2.4 < \eta < 3$

Replace Tracker

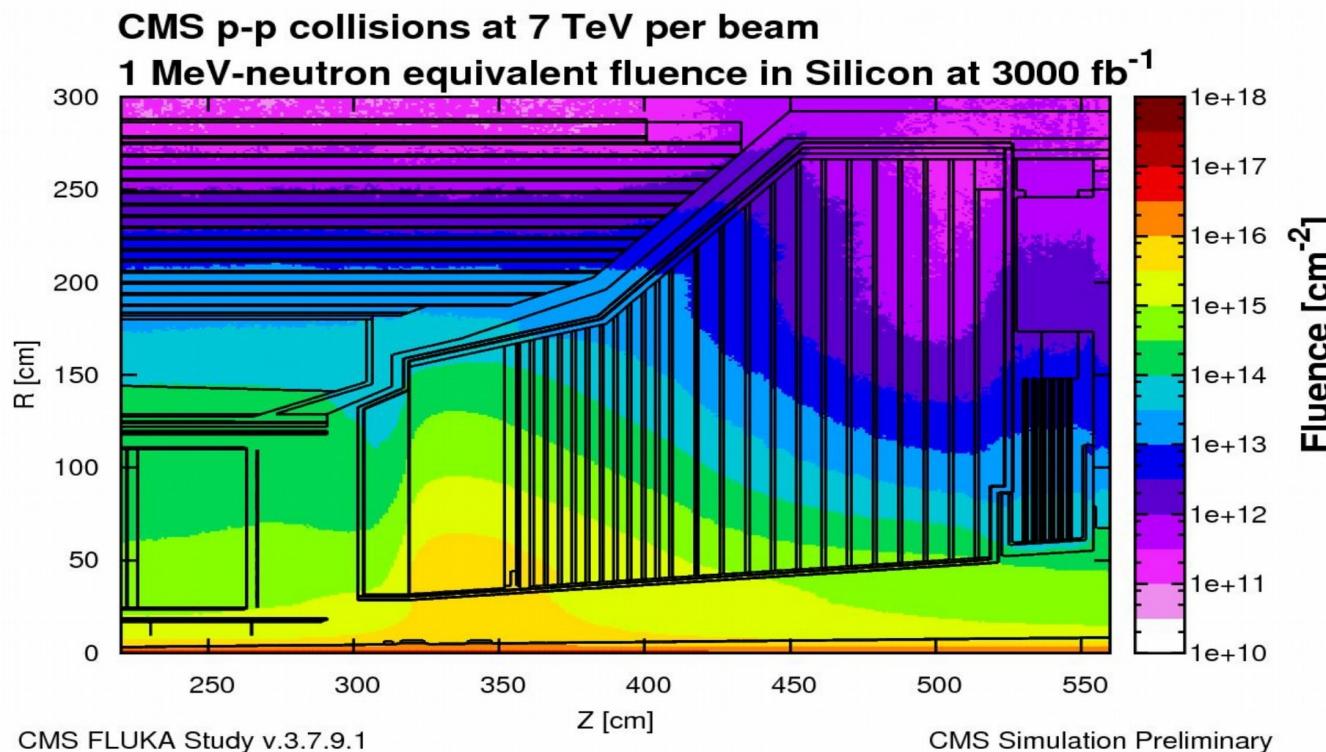
- Rad. tolerant - high granularity - significantly less material
- 40 MHz selective readout ($P_{t\geq 2}$ GeV) in Outer Tracker for L1-Trigger
- Extend coverage to $\eta = 3.8$

Endcap Calorimeter: Harsh radiation during HL-HLC
→ to be replaced by HGCAL

Radiation: challenge & solution

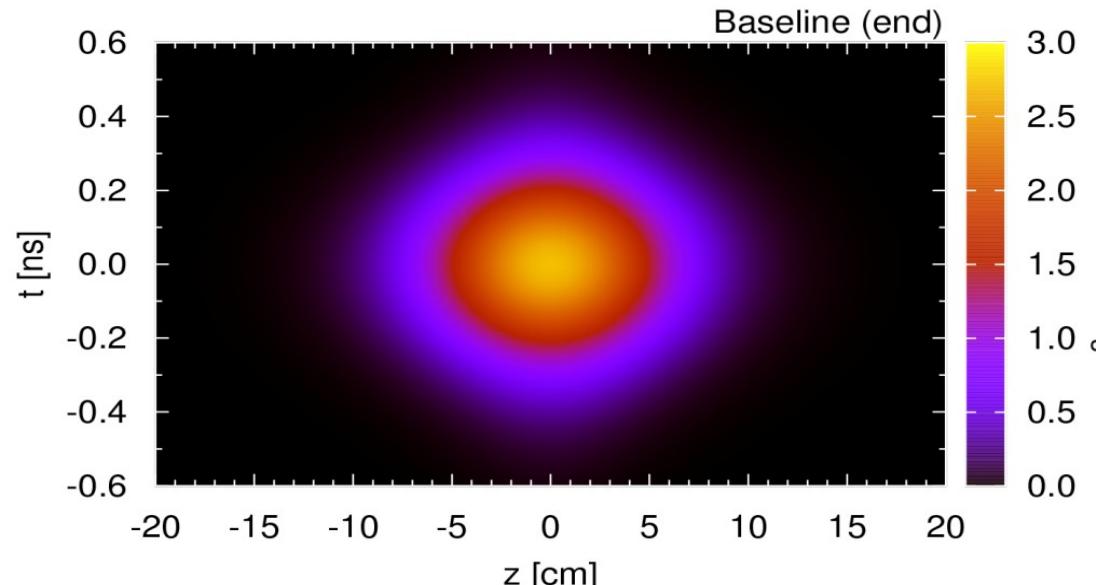
Present forward calorimeters: designed for an integrated luminosity of 500 fb^{-1}

→ Any replacement must have ability to withstand integrated radiation $\times 10$ higher than expected in original CMS design



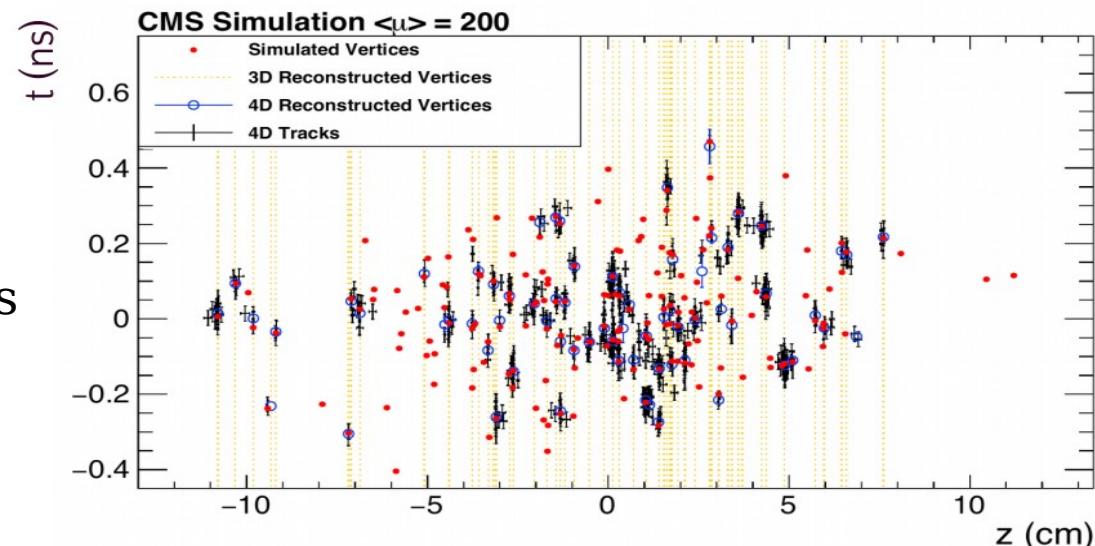
Silicon sensors have adequate charge collection after submission to $1.5\text{E}16 \text{ neq}/\text{cm}^2$ (neq: number of 1 MeV equivalent neutrons), a fluence 50% higher than expected for an integrated luminosity of 3000 fb^{-1}

Space-time profile of the beam spot



- Interactions are spread over $[100, 200]$ ps
- Online selection $\sim O(\mu\text{s})$

Space-time distribution of vertices



- Disentangle overlapping vertices with precise timing
 - Goal resolution: $[20, 30]$ ps

Profile of the aimed detector

- **Radiation tolerance:**
 - Fully preserve energy resolution after 3000 fb^{-1}
- **Fine lateral granularity:**
 - for low energy equivalent of electronics noise to give a high enough S/N to allow MIP calibration
 - help with shower separation & the observation of narrow jets
 - limit the region used for energy measurement: minimize inclusion of energy from PU particles
- **Fine longitudinal granularity:**
 - enable fine sampling of the longitudinal development of showers: provide good electromagnetic energy resolution (e.g. for $H \rightarrow \gamma\gamma$),
 - pattern recognition
 - discrimination against PU
- **Precision time measurement of high energy showers:**
 - precise timing from each cell with enough energy aids to reject energy from PU
- **Ability to contribute to the L1 trigger decision**

Is this asking too much ?

Electromagnetic part:

Active: Si sensors

Absorber: Cu/CuW/Pb

28 layers, $25 X_0$, $\sim 1.3 \lambda$

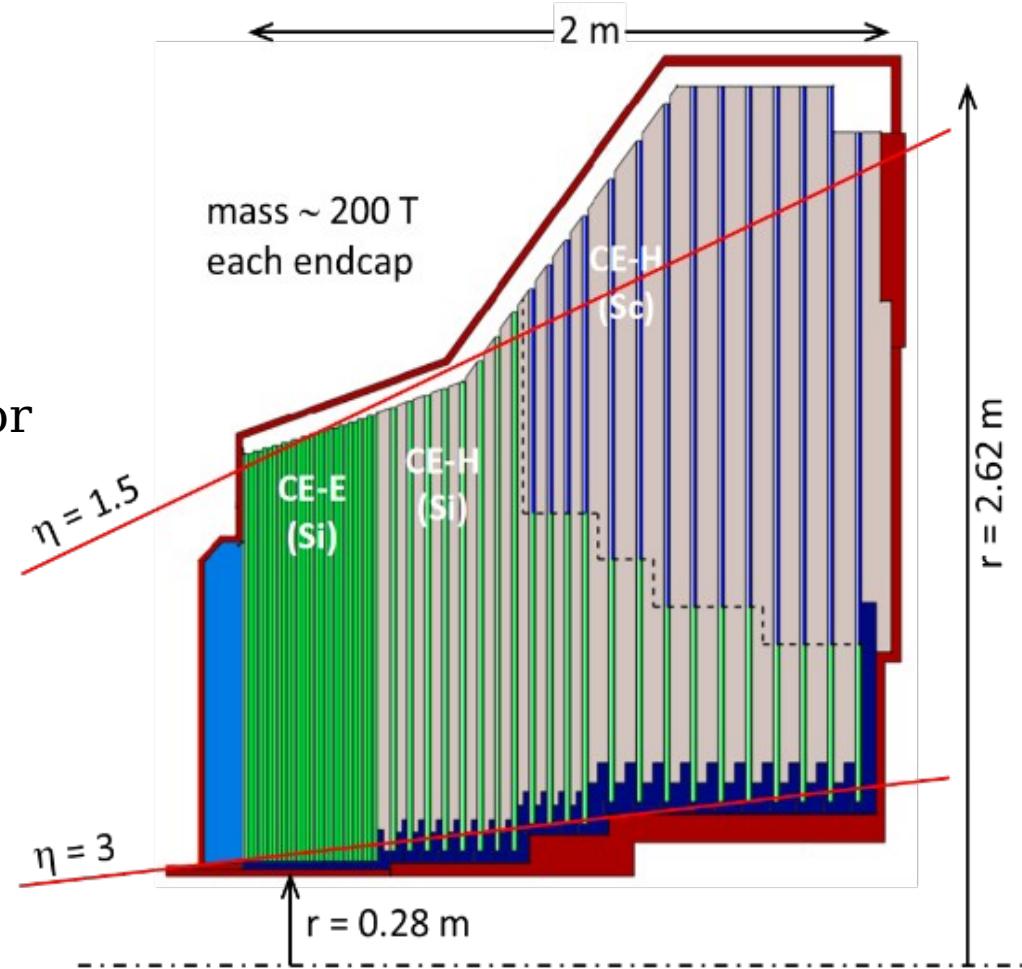
Hadronic part:

Active: $1.47 < |\eta| < 2.4$: Si-scintillator sensors (Sc)

$1.47 < |\eta| < 3$: Si sensors

Absorber: Steel

24 layers, $\sim 7.2 \lambda$

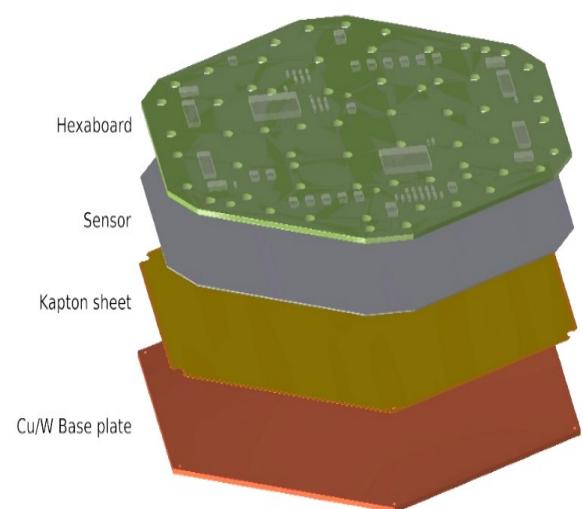
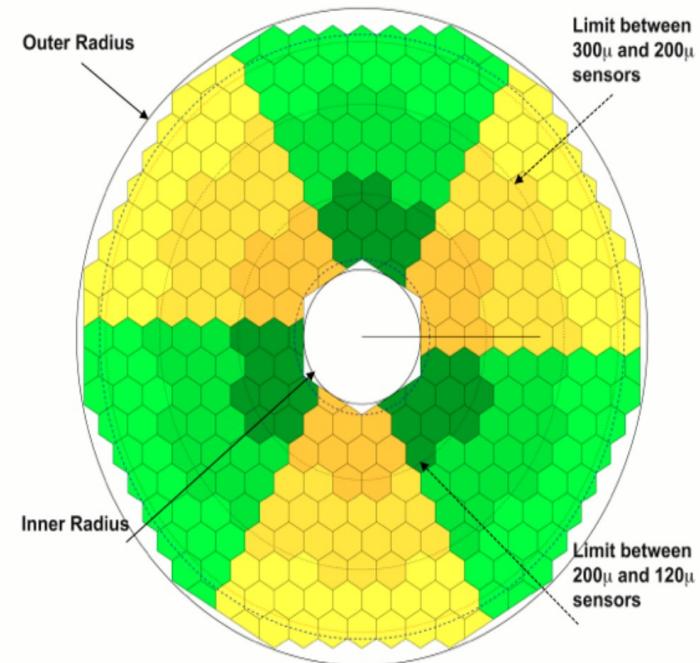
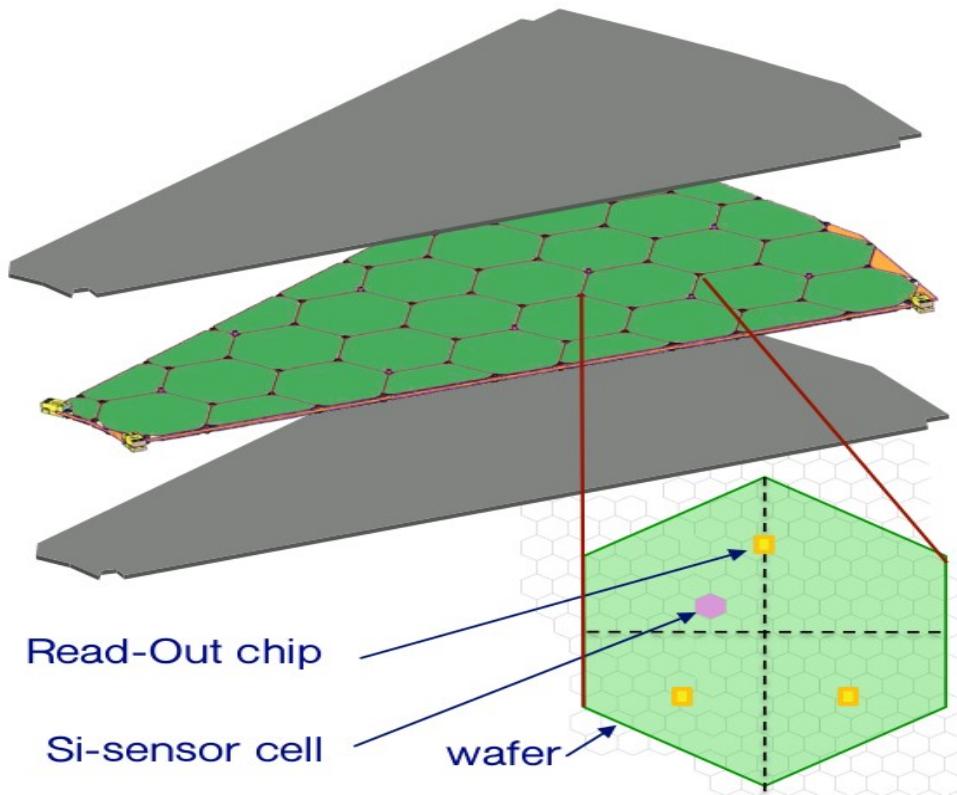


Main features:

- $1.47 < |\eta| < 3$
- High radiation resistance
- Operation @ -30 C to limit Si leakage current & operate after radiation
- 6.1 M Si channels
- $\sim 640 \text{ m}^2 \text{ Si} / \sim 370 \text{ m}^2 \text{ Sc}$

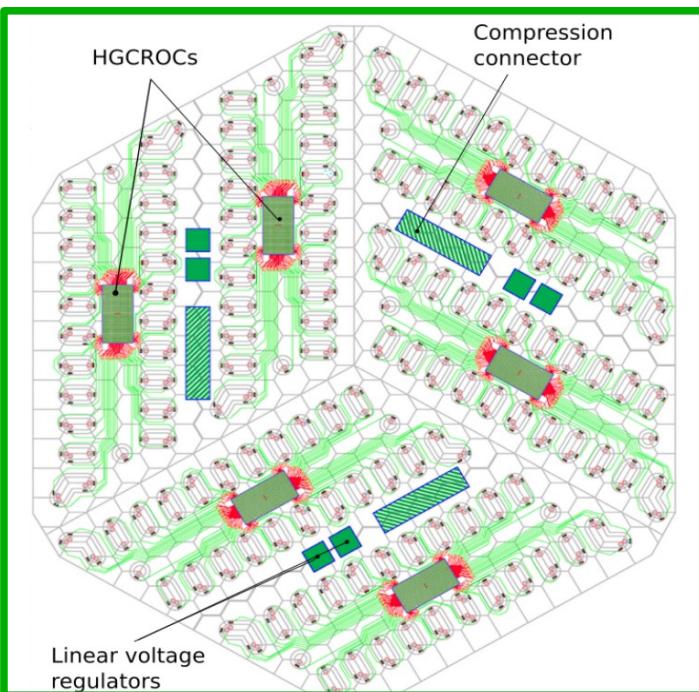
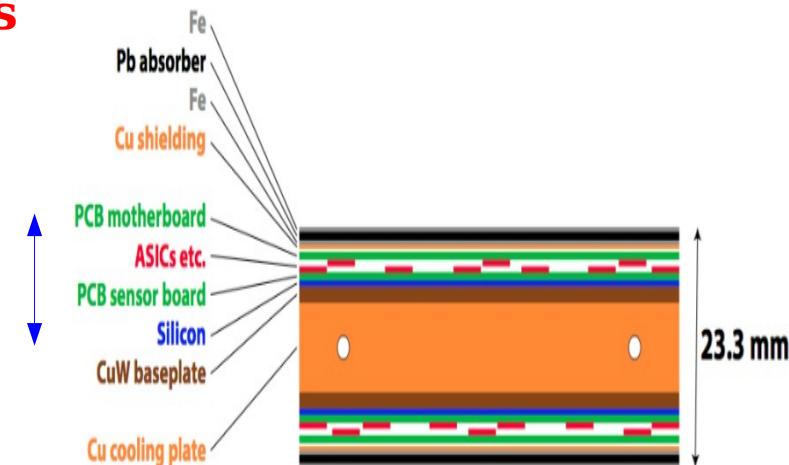
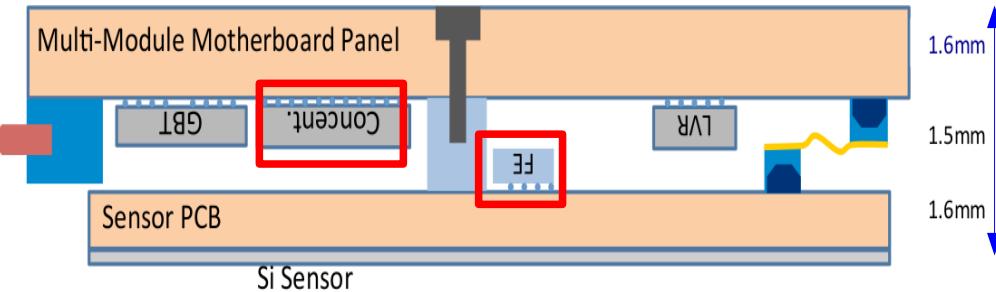
HGCAL: detectors

- Detection unit: hexagonal Si/Sc sensor cell
 - 3 thickness
- 1 hexagonal wafer: 192/432 cells $1/0.5 \text{ cm}^2$
3/6 read-out chips
- Wafers assembled in 30° , 60° cassettes
- Module = Wafer + PCB



HGCAL: detectors & electronics

- PCB sensor: hexagonal, holding **FE ASICs**
- Mother-board: connecting 4 **modules**



Information's path in electronics:

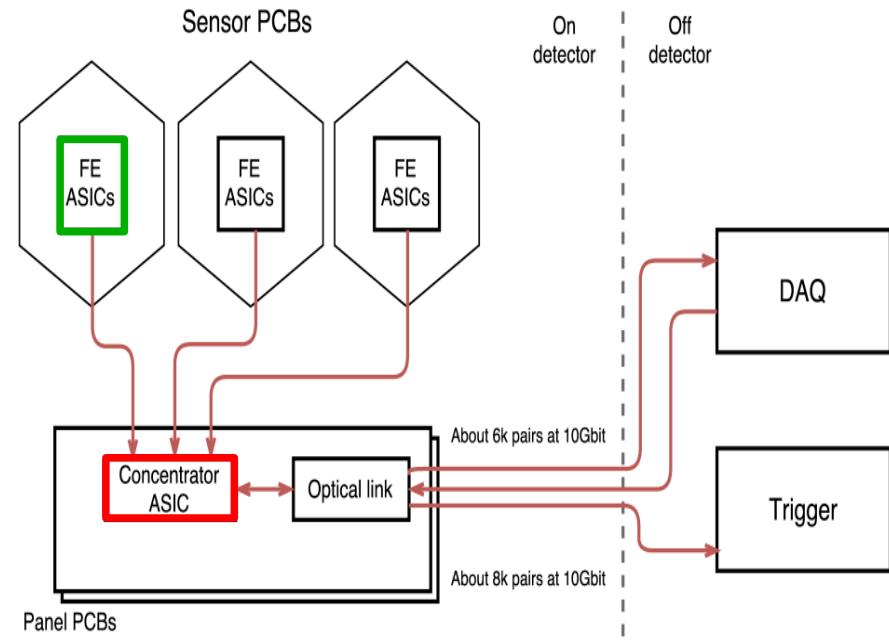
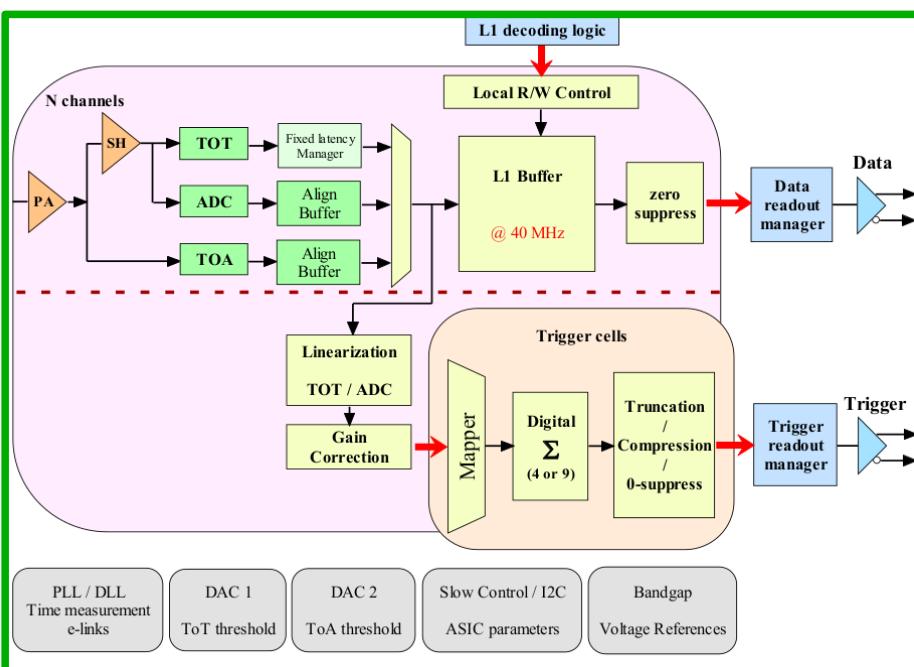
- Si/Sc → HGCROC:
 - Digitization of channels
- → PCB sensor → Connectors → Motherboard
- → Concentrator chip:
 - Conglomerates data from modules
 - 0 suppression
 - Formats → GigaBitTransiever → BE

HGCAL: electronics & data transmission

Signal \in [MIP, O(TeV)]: ADC/TOT used for low/high signals

HGCROC:

- Digital sum of E(cell) in Trigger-Cell
- E(TC) truncation & compression



Concentrator:

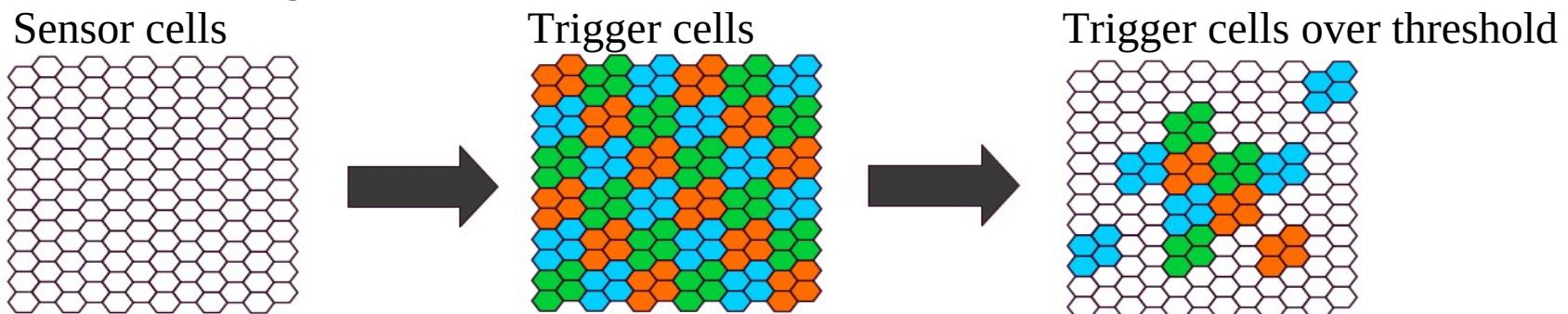
- Reception, selection and transmission of trigger & data
- Transmits TCs & Global Sum

LpGBT links: ~16k links for 25k modules:
8k links for:

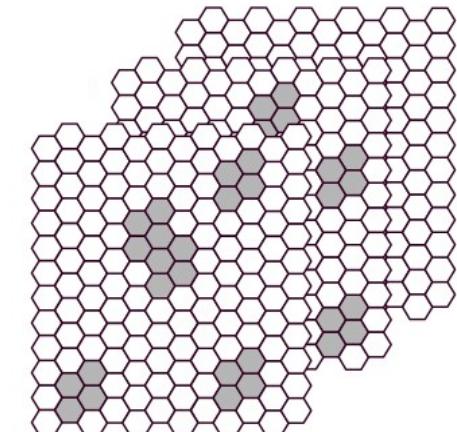
- Trigger
- Full resolution data (DAQ)

First online data reduction:

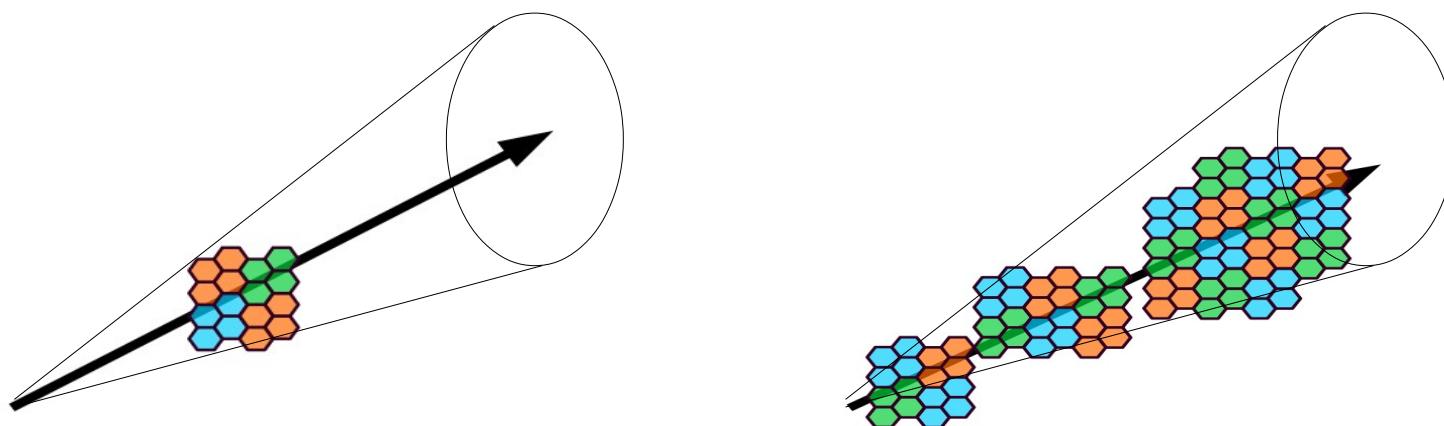
- HGCAL cells grouped together 4:1, re-linearized across ADC & TOT readouts
- Summed “trigger” cells sorted. Only the most energetic cells sent for BE processing



- First “shot” to mitigate PU:
 - Simplest way: count $N(\text{cells})$ above a threshold
 - Longitudinal segmentation allows for an efficient estimate using only the first layers, dominated by PU
- Get Clustering & Isolation thresholds, Energy corrections



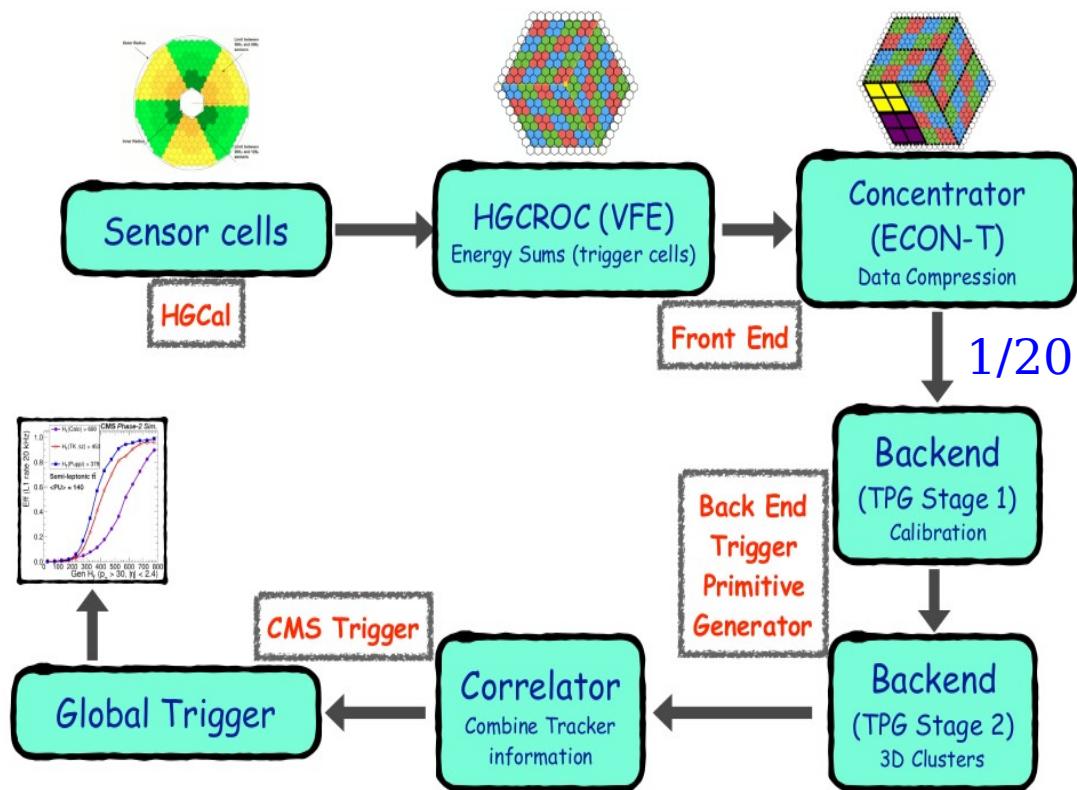
- Seeding & direction finding
- Clustering around a direction across longitudinal planes
 - Smoothing of hits / detector plane
 - Maximum finding (different approaches)
 - Cone algorithm: associate all (hit) maximums within a cone whose size can be tuned. Association can be based on:
 - Distance between hits
 - Energy of hits



- With 3D information:
 - EM / HAD clusters
 - Isolation

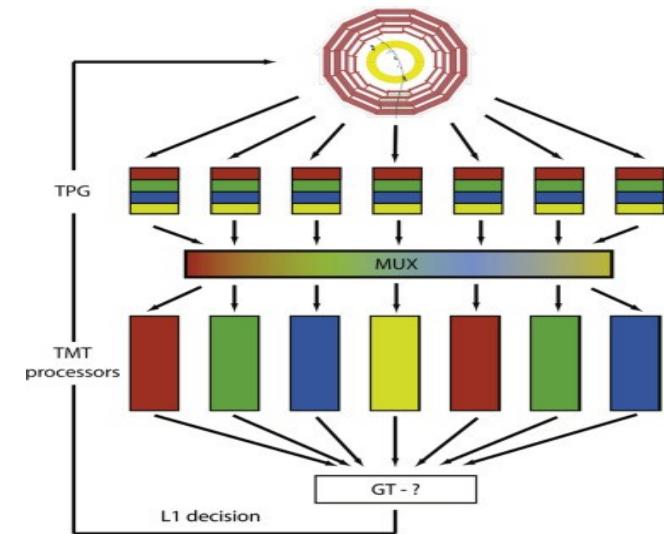
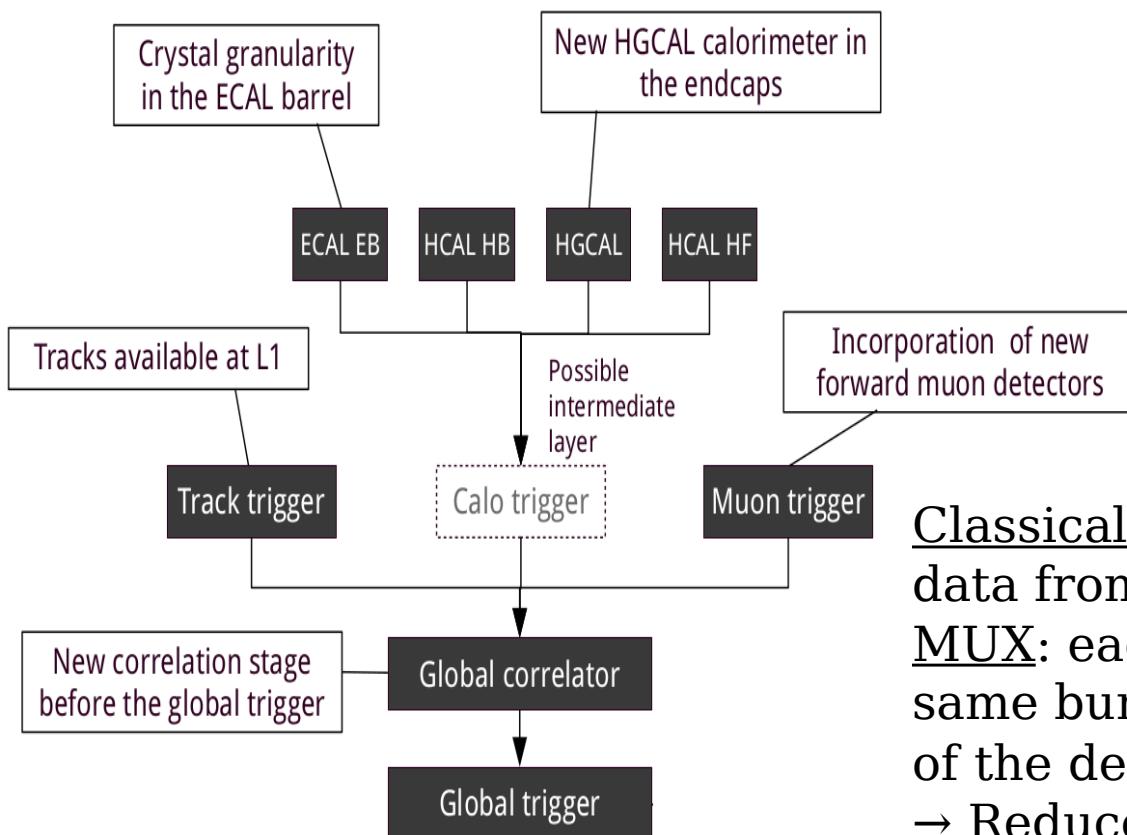
Trigger: processing done both on- & off-detector

- On detector: Data needs to:
 - Be reduced to 40 MHz to be sent off-detector
 - Kept simple for reducing power consumption & maximizing flexibility
- Off detector in FPGAs:
 - Clustering, PU estimation, ...
 - Can be done in several processing layers
 - Time multiplexing in trigger architecture



Trigger: picture @ HL-LHC

- **Maximum L1 rate: O(100kHz) → O(1MHz)**
- Fixed latency: 4 μ s → 12.5 μ s
- {Time-multiplexing} & {Track info} & {latest FPGA} & {fast optical links} → PF possible @ L1



Classical data-flow: each FPGA collects data from all bunch-crossings
MUX: each FPGA collects data from the same bunch crossing & an entire region of the detector (120° sector)
→ Reduces the intra-FPGA data sharing hence bandwidth. Concentrates data

Silicon sensors: intrinsically fast response time + Design of the FE (ToA)
 → Each cell with enough energy can give a precise time stamp

Threshold (ToA)=12 fC

$$\sigma_t = \sigma_{\text{jitter}} \oplus \sigma_{\text{floor}}$$

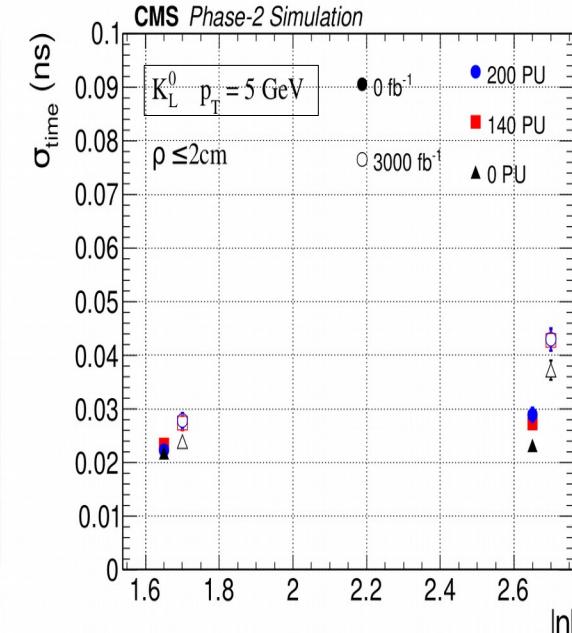
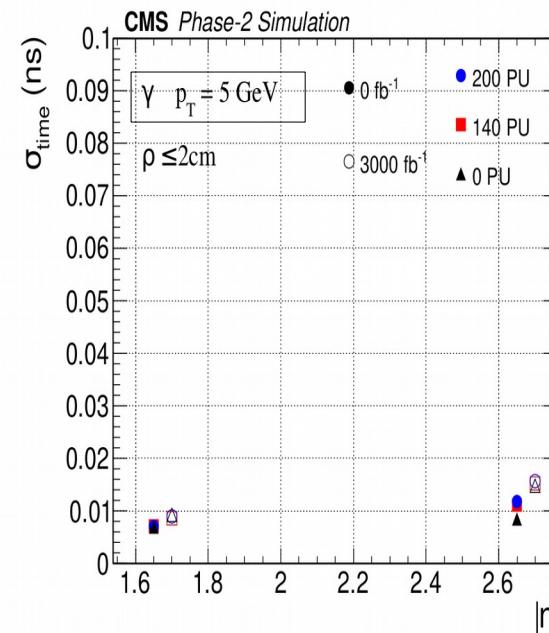
$$\sigma_{\text{jitter}} = \frac{A}{(S/N)}$$

σ_t (single cell) $\in [20, 150]$ ps
 $\leftrightarrow O(100 \text{ ps})$ of single bunch-crossing

Multiple cells measurement lowers σ_t

Time measurement: require ≥ 3 cells within radius ρ of shower axis, each with an energy deposit of $> 12 \text{ fC}$

Achievable resolution on the ToA expected from the specification of the sensors, FE, & the clock distribution: $A=5 \text{ ns}$ $\sigma_{\text{floor}}=20 \text{ ps}$



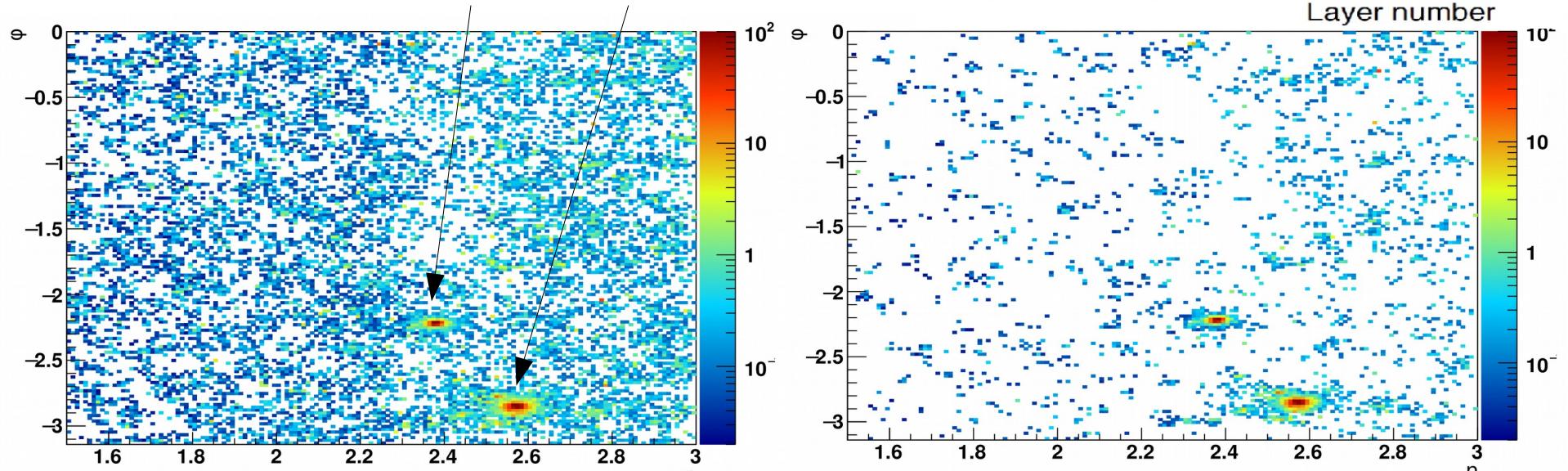
Timing & granularity: PU rejection

Cell size [0.5,1.] cm² imposed by:

- Physics performance
(lateral spread of EM showers)
- Keeping cell capacitance manageable (<65 pF)

Timing + Granularity : 5D detector

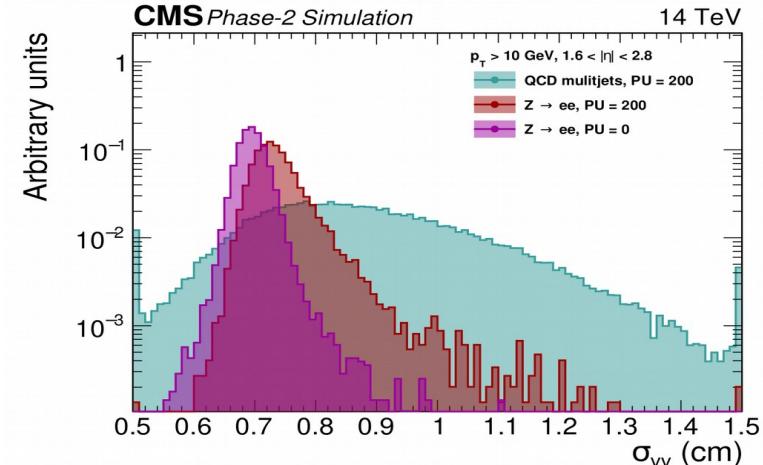
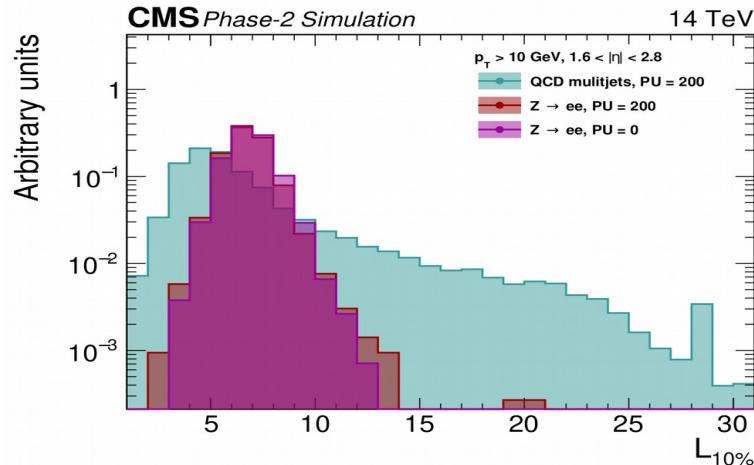
VBF H → γγ event: γ / VBF jet



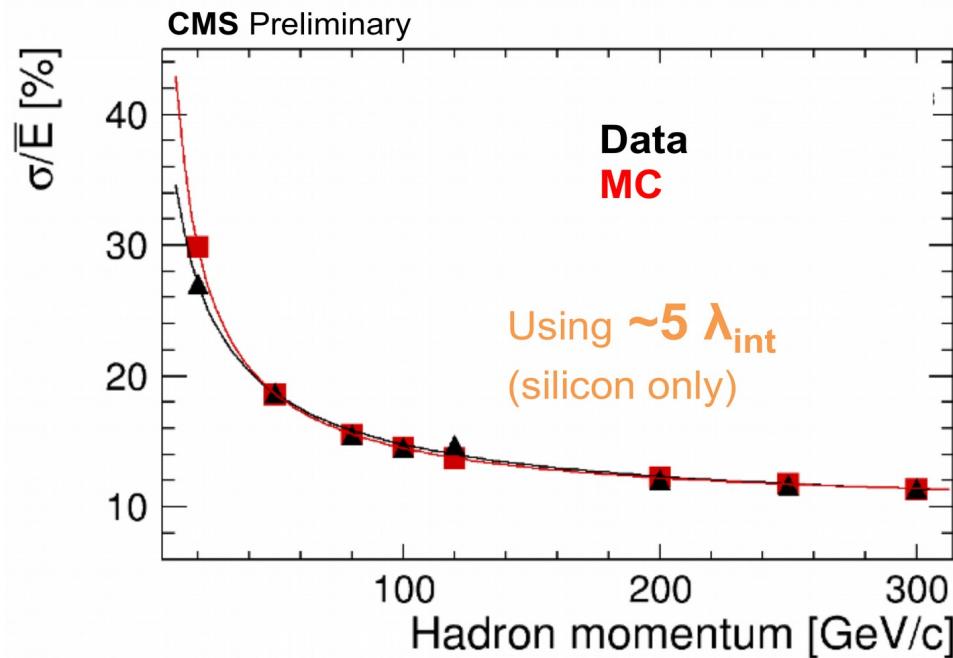
> 12 fC hits projected to the front face of HGCAL:
No time requirement $|\Delta t| > 90$ ps

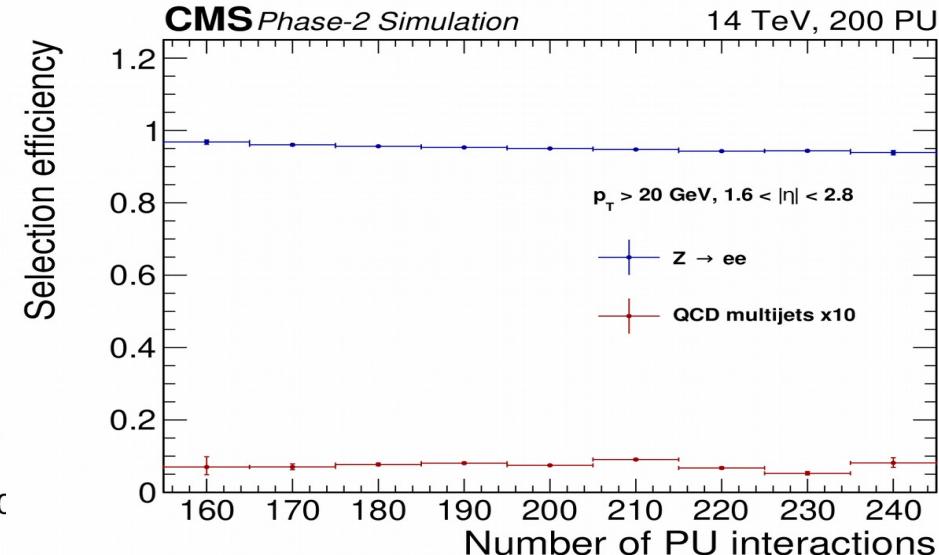
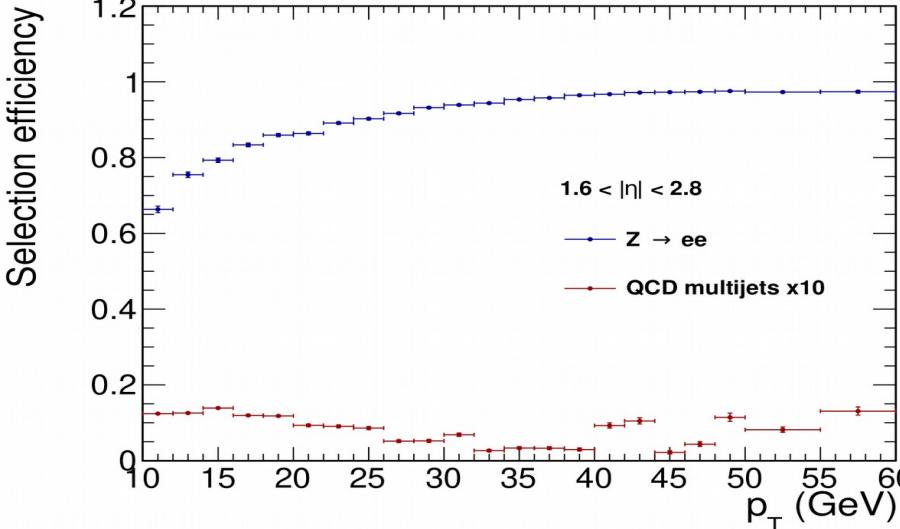
Physics: Object reconstruction

Shower shape variables to disentangle hadronic & electromagnetic jets



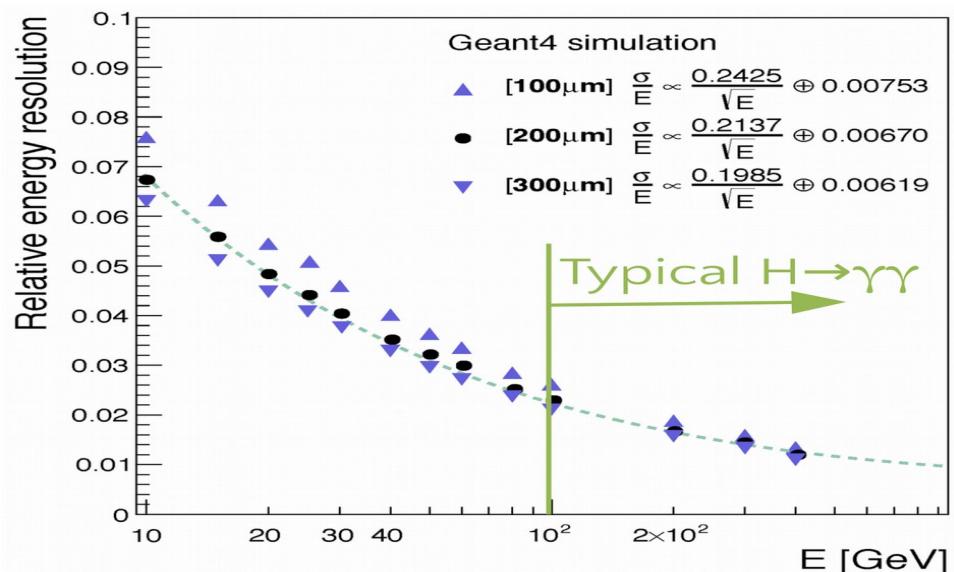
Energy resolution: Jets





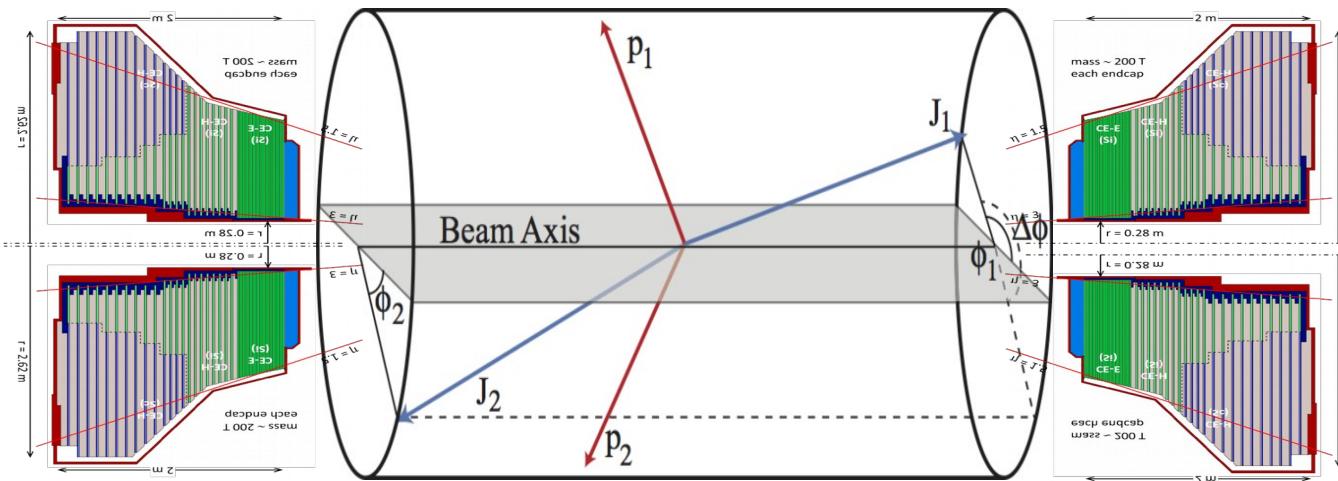
Energy resolution: Photon

- Efficiency(e) $> 95\%$ for $p_T > 30$
- Efficiency(e) suffers minor deterioration with PU
- Resolution(γ) $< 3\%$ for $p_T > 100$



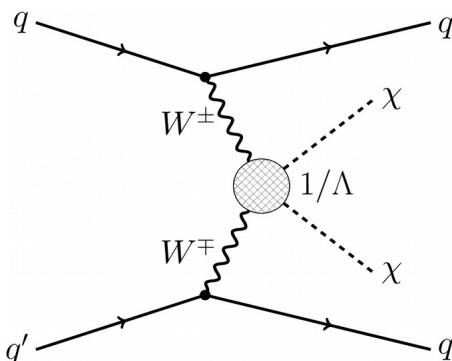
Boosted objects giving narrow (e.g. $\tau \rightarrow$ hadrons) or merged jets (e.g. from hadronic decays of W/Z)

By definition of the detector: **Vector Boson Fusion (VBF)** is the production process of predilection



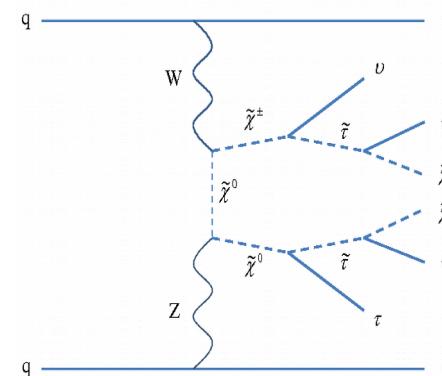
Gateway to many different physics:

Whatever beyond

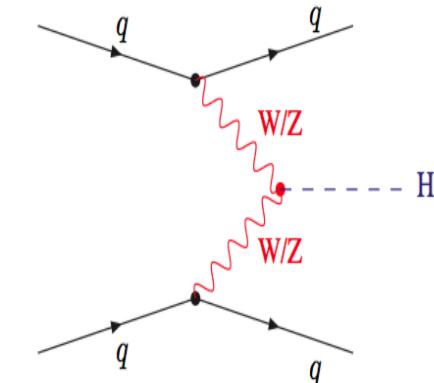


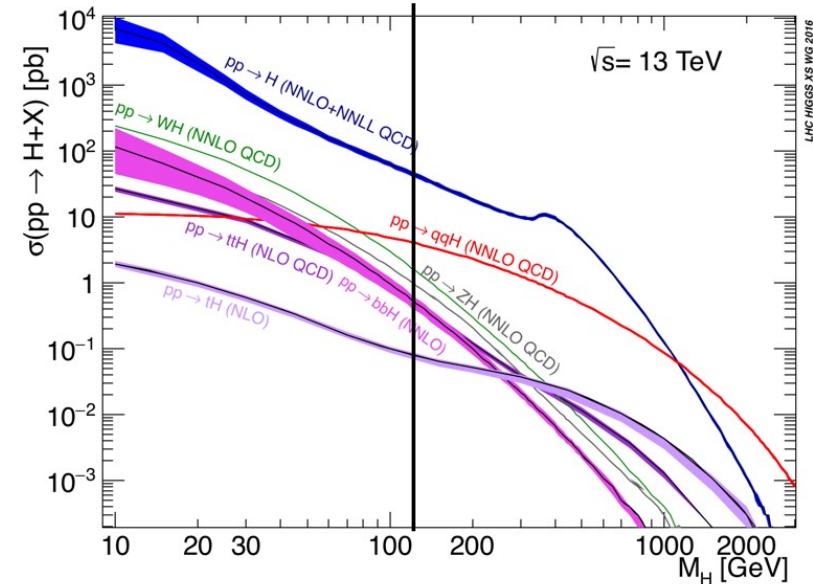
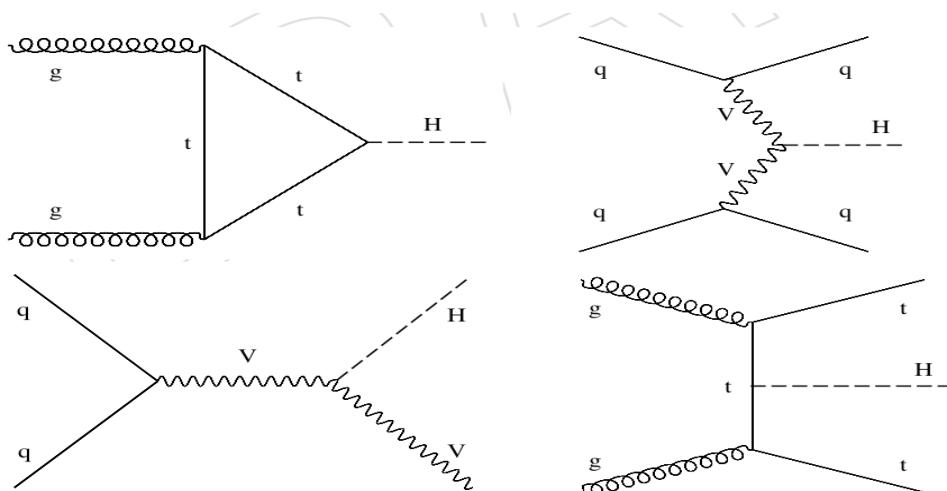
Pedrame Bargassa

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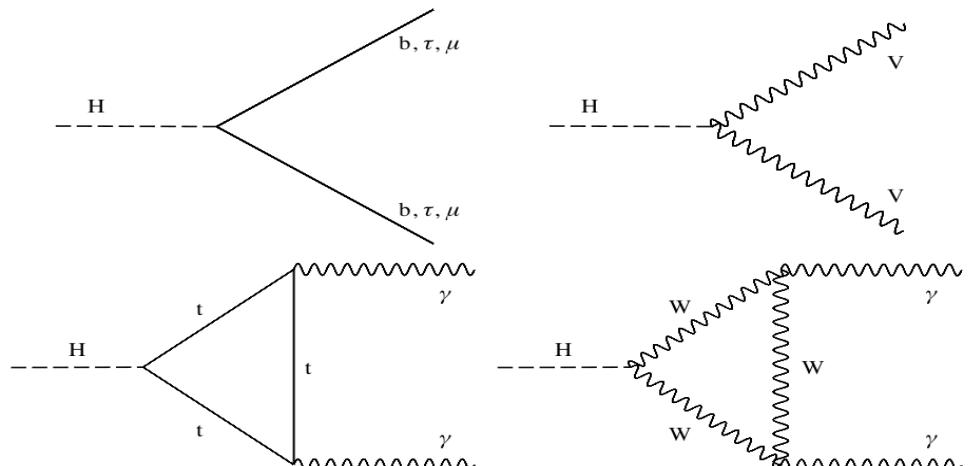


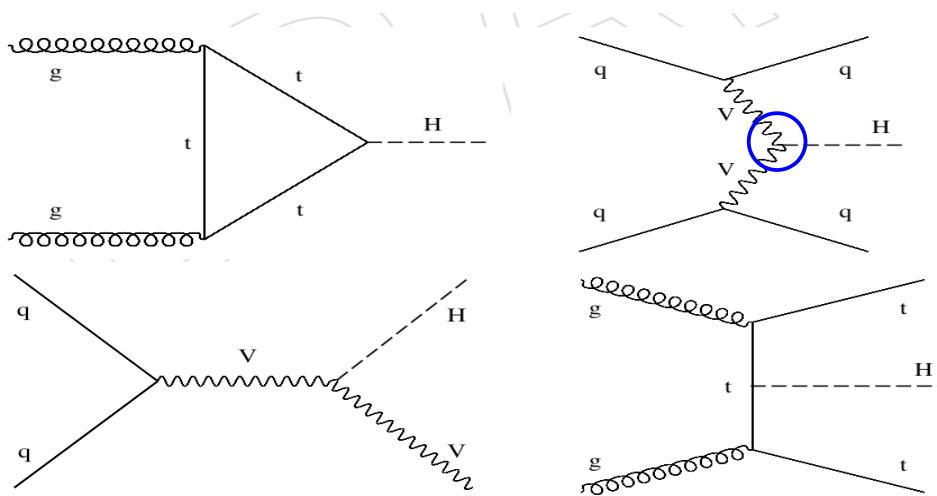
Higgs: VBF





- VBF:**
- 2nd most profuse source of Higgs production
 - While cleaner than ggH: 2 extra jets in forward region (HGCAL)
 - → Will be a privileged window to measure Higgs properties @ HL-LHC
 - Like any other production mode: gateway to Higgs decays to different fermions & bosons





Formalism to measure deviations of Higgs from the SM:

$$\kappa_x = \sigma_x(\text{measure}) / \sigma_x(\text{SM})$$

VBF:
Window to measure
Higgs coupling to:

- W and Z boson
- And any fermion (or boson again) in the Higgs decay

Production	Loops	Interference	Effective scaling factor	Resolved scaling factor
$\sigma(ggH)$	✓	$b - t$	κ_g^2	$1.04 \cdot \kappa_t^2 + 0.002 \cdot \kappa_b^2 - 0.038 \cdot \kappa_t \kappa_b$
$\sigma(VBF)$	—	—		$0.73 \cdot \kappa_W^2 + 0.27 \cdot \kappa_Z^2$
$\sigma(WH)$	—	—		κ_W^2
$\sigma(qq/qg \rightarrow ZH)$	—	—		κ_Z^2
$\sigma(gg \rightarrow ZH)$	✓	$Z - t$		$2.46 \cdot \kappa_Z^2 + 0.47 \cdot \kappa_t^2 - 1.94 \cdot \kappa_Z \kappa_t$
$\sigma(t\bar{t}H)$	—	—		κ_t^2
$\sigma(gb \rightarrow WtH)$	—	$W - t$		$2.91 \cdot \kappa_t^2 + 2.40 \cdot \kappa_W^2 - 4.22 \cdot \kappa_t \kappa_W$
$\sigma(qb \rightarrow t\bar{H}q)$	—	$W - t$		$2.63 \cdot \kappa_t^2 + 3.58 \cdot \kappa_W^2 - 5.21 \cdot \kappa_t \kappa_W$
$\sigma(bbH)$	—	—		κ_b^2
Partial decay width				
Γ^{ZZ}	—	—		κ_Z^2
Γ^{WW}	—	—		κ_W^2
$\Gamma^{\gamma\gamma}$	✓	$W - t$	κ_γ^2	$1.59 \cdot \kappa_W^2 + 0.07 \cdot \kappa_t^2 - 0.67 \cdot \kappa_W \kappa_t$
$\Gamma^{\tau\tau}$	—	—		κ_τ^2
Γ^{bb}	—	—		κ_b^2
$\Gamma^{\mu\mu}$	—	—		κ_μ^2
Total width for $\text{BR}_{\text{BSM}} = 0$				
Γ_H	✓	—	κ_H^2	$0.58 \cdot \kappa_b^2 + 0.22 \cdot \kappa_W^2 + 0.08 \cdot \kappa_g^2 + 0.06 \cdot \kappa_\tau^2 + 0.026 \cdot \kappa_Z^2 + 0.029 \cdot \kappa_c^2 + 0.0023 \cdot \kappa_\gamma^2 + 0.0015 \cdot \kappa_{Z\gamma}^2 + 0.00025 \cdot \kappa_s^2 + 0.00022 \cdot \kappa_\mu^2$

High Granularity CALorimeter for HL-LHC:

- Radiation-hardness: Meets exigencies – Si-based
- Timing: Projected resolution better than 50 ps for large variety of particles
- Timing + High Granularity: Will help to mitigate the PU
- Will naturally be part of the new L1 trigger stage
- Physics: Will be great for Higgs coupling measurement with very high precision: 3000 fb^{-1} with HL-LHC

Directions of work: Many !

Here are some in the Trigger Primitive Group:

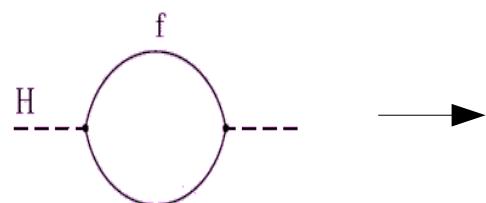
- 3D clustering algorithm
 - ML approaches ?
- Jet / Electron / Gamma / Tau identification
 - Electron is based on BDT
- VBF jets \leftrightarrow PU jet separation (BDT)

Backup

- Greatest discovery in HEP in last decades:

Higgs boson: $m_H = 125 \text{ GeV}/c^2$

- Consider Higgs mass correction from fermionic loop:



A circular loop diagram representing a fermionic loop. A horizontal dashed line enters from the left, labeled 'H' at its point of entry. Another horizontal dashed line exits to the right, labeled 'f' at its point of exit. An arrow points to the right from the loop.

$$\Delta m_H^2 = \frac{\lambda_f^2}{16\pi^2} \cdot [-2\Lambda_{UV}^2 + \dots]$$

Λ_{UV} : Energy-scale at which new physics alters the Standard-Model (momentum cut-off regulating the loop-integral)

If $\Lambda_{UV} \sim M_P \rightarrow \Delta m_H^2 \sim \mathbf{O(10^{30})}$ larger than m_H !!!

And all Standard-Model masses indirectly sensitive to Λ_{UV} !!!

$$\Delta m_H^2 = \frac{\lambda_f^2}{16\pi^2} \cdot [-2\Lambda_{UV}^2 + \dots]$$


The diagram shows a fermionic loop with a subtraction. On the left, a horizontal dashed line labeled 'H' enters a circle labeled 'f'. On the right, another horizontal dashed line labeled 'f' exits. To the right of the main loop, there is a smaller loop labeled 'S' with a dashed line labeled 'H' entering it from the left and a dashed line exiting it to the right.

$$\Delta m_H^2 = \frac{\lambda_s^2}{16\pi^2} \cdot [\Lambda_{UV}^2 - \dots]$$

Δm_H^2 quadratic divergence canceled :

Hierarchy problem naturally solved !

➤ **Tracker:**

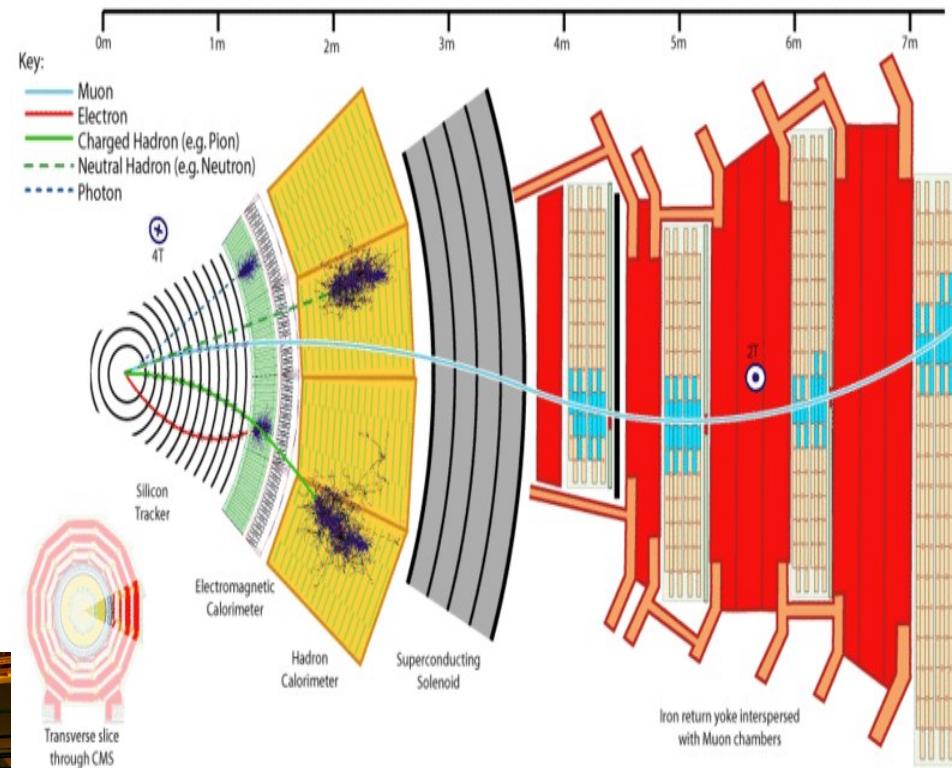
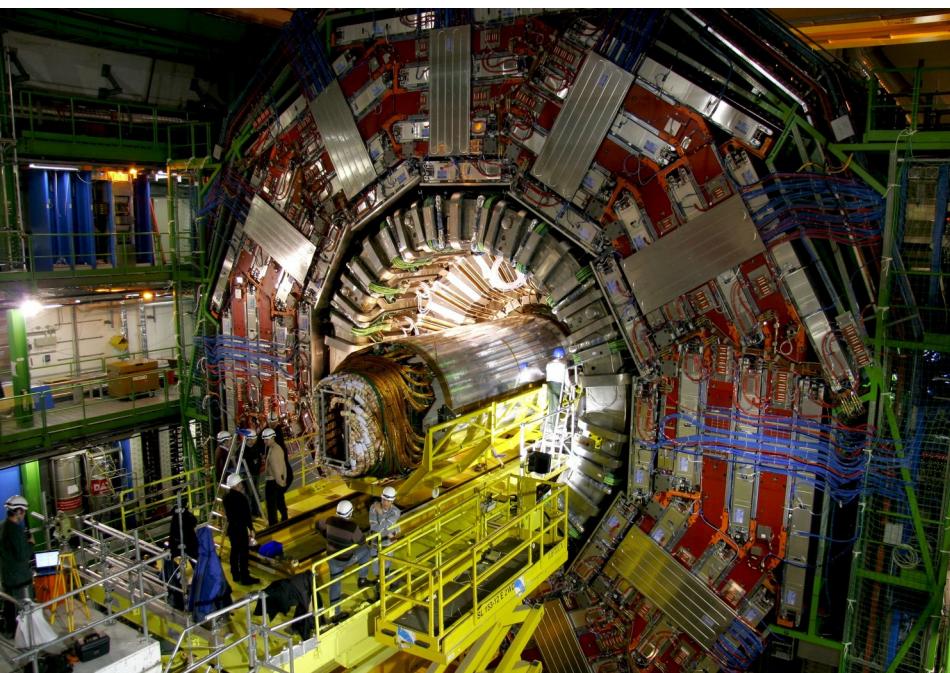
- 13/14 silicon layers in Barrel (B) / End-Cap (EC)

➤ **EM calorimeter:**

- PbWO_4 crystals, extremely dense & optically clear material

➤ **HAD calorimeter:**

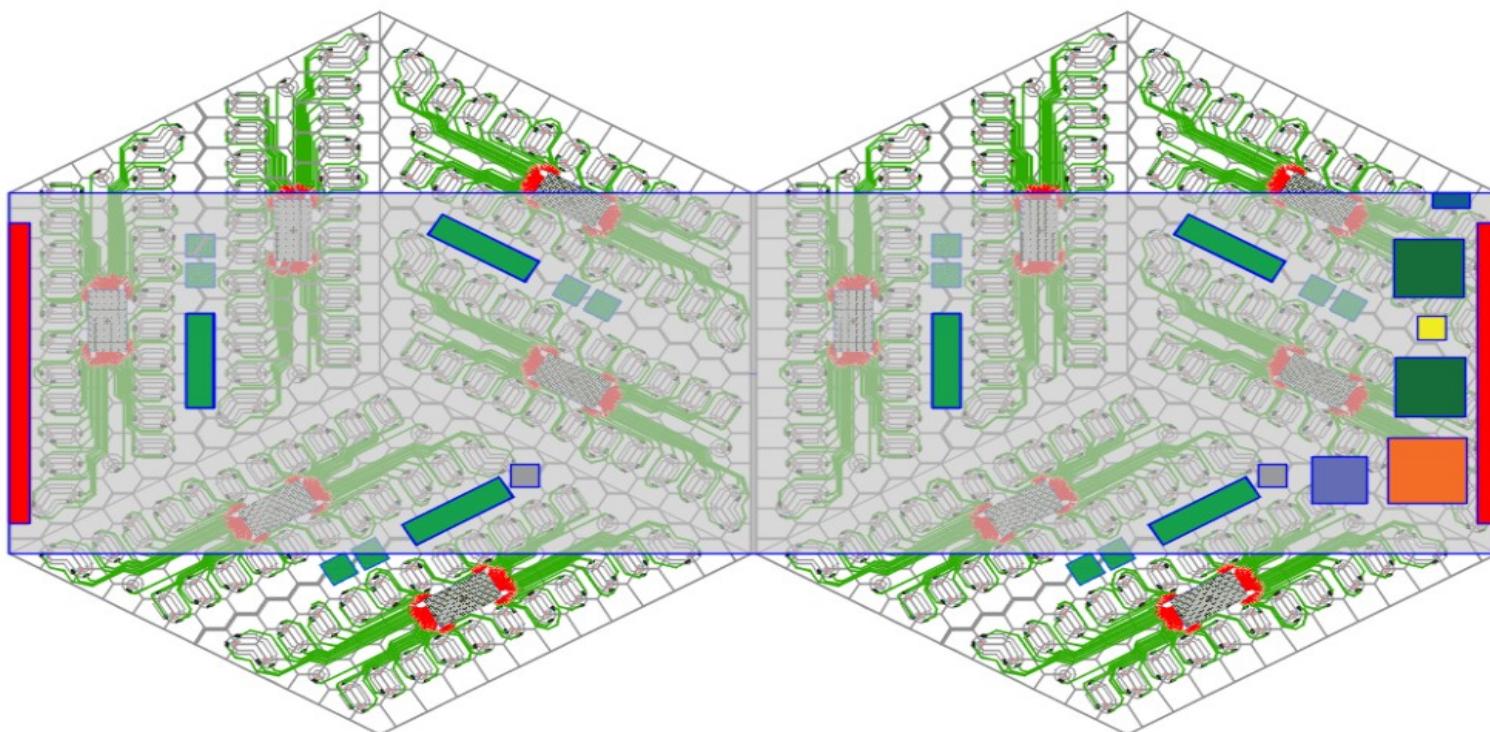
- Layers of dense material (brass or steel) interleaved with tiles of plastic scintillators



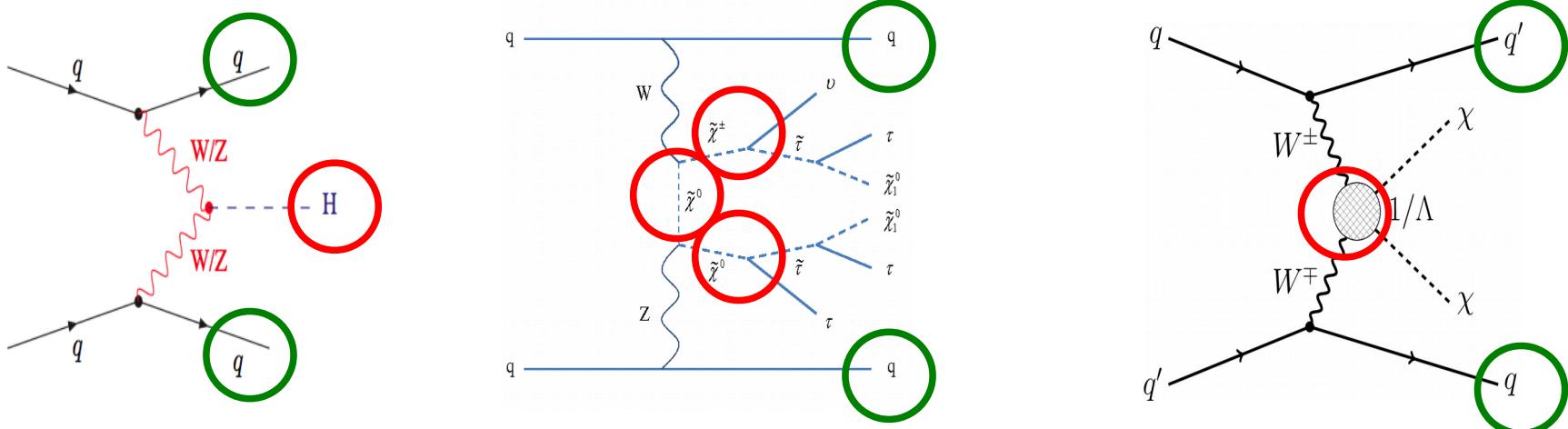
➤ **Magnet:** 3.8 T / Return yoke after...

➤ **Muon system:**

- Drift-Tube (B): Measure
- Cathod-Strip-Chamber (EC): Measure & Trigger
- Resistive-Plate-Chamber: Trigger



Motivation & strategy



VBF signatures cover a wide variety of searches @ LHC, while main background, to all of them = Pile-Up

- If we want to preserve **good efficiency for any VBF signature**, we should base decision on **VBF quarks**, not on **produced object and/or decay products**
 - Allow us **claim a bit more bandwidth @ TSG**, justified by (wide) range of VBF-based searches, i.e. 1 - O(10 kHz)

- 1/ Know which variables are worth being transmitted to L1
- 2/ Trigger: Provide a tool for separating PU from VBF events:
 - based only on **jet properties**
 - “simple” enough for it to be (hopefully) implemented in trigger